

# Information Extraction

Lecture 4 – Named Entity Recognition II

CIS, LMU München

Winter Semester 2020-2021

Prof. Dr. Alexander Fraser, CIS

# Administravia

- Seminar
  - Dates are up. PLEASE CHECK YOUR NAME, TOPIC AND PRESENTATION LANGUAGE!
- Speaking times:
  - \*10 minutes\* per speaker for groups of 3
  - \*13 minutes\* per speaker for groups of 2
- Tips
  - Presentations should have **slide numbers** to facilitate the discussion
  - And, as you know, the Hausarbeit is due 3 weeks after your presentation!

# Reading

- Please read Sarawagi Chapter 3 for next time
  - Sarawagi talks about classifier based IE in Chapter 3
  - Unfortunately, the discussion is very technical. I would recommend reading it, but not worrying too much about the math (yet), just get the basic idea
  - Please plan to reread Chapter 3 again after we discuss machine learning

# Outline

- Topics from last time
  - Evaluation metrics in more detail
  - Quick review of Rule-Based NER
- Evaluations and gold standards in IE
  - Issues in Evaluation of IE
  - Human Annotation for NER
- IE end-to-end
- Introduction: named entity detection as a classification problem

# Recall

Measure of how much relevant information the system has extracted (coverage of system).

Exact definition:

$$\text{Recall} = \begin{cases} 1 & \text{if no possible correct answers} \\ \frac{\text{\# of correct answers given by system}}{\text{total \# of possible correct answers in text}} & \text{else:} \end{cases}$$

# Precision

Measure of how much of the information the system returned is correct (accuracy).

Exact definition:

Precision = 1 if no answers given by system

else:

$$\frac{\# \text{ of correct answers given by system}}{\# \text{ of answers given by system}}$$

# Evaluation

Every system, algorithm or theory should be **evaluated**, i.e. its output should be compared to the **gold standard** (i.e. the ideal output). Suppose we try to find scientists...

Algorithm output:

O = {Einstein, Bohr, Planck, Clinton, Obama}

✓ ✓ ✓ X X

Gold standard:

G = {Einstein, Bohr, Planck, Heisenberg}

✓ ✓ ✓ X

Precision:

What proportion of the output is correct?

$$\frac{|O \cap G|}{|O|}$$

Recall:

What proportion of the gold standard did we get?

$$\frac{|O \cap G|}{|G|}$$

# Evaluation

- Why Evaluate?
- What to Evaluate?
- How to Evaluate?



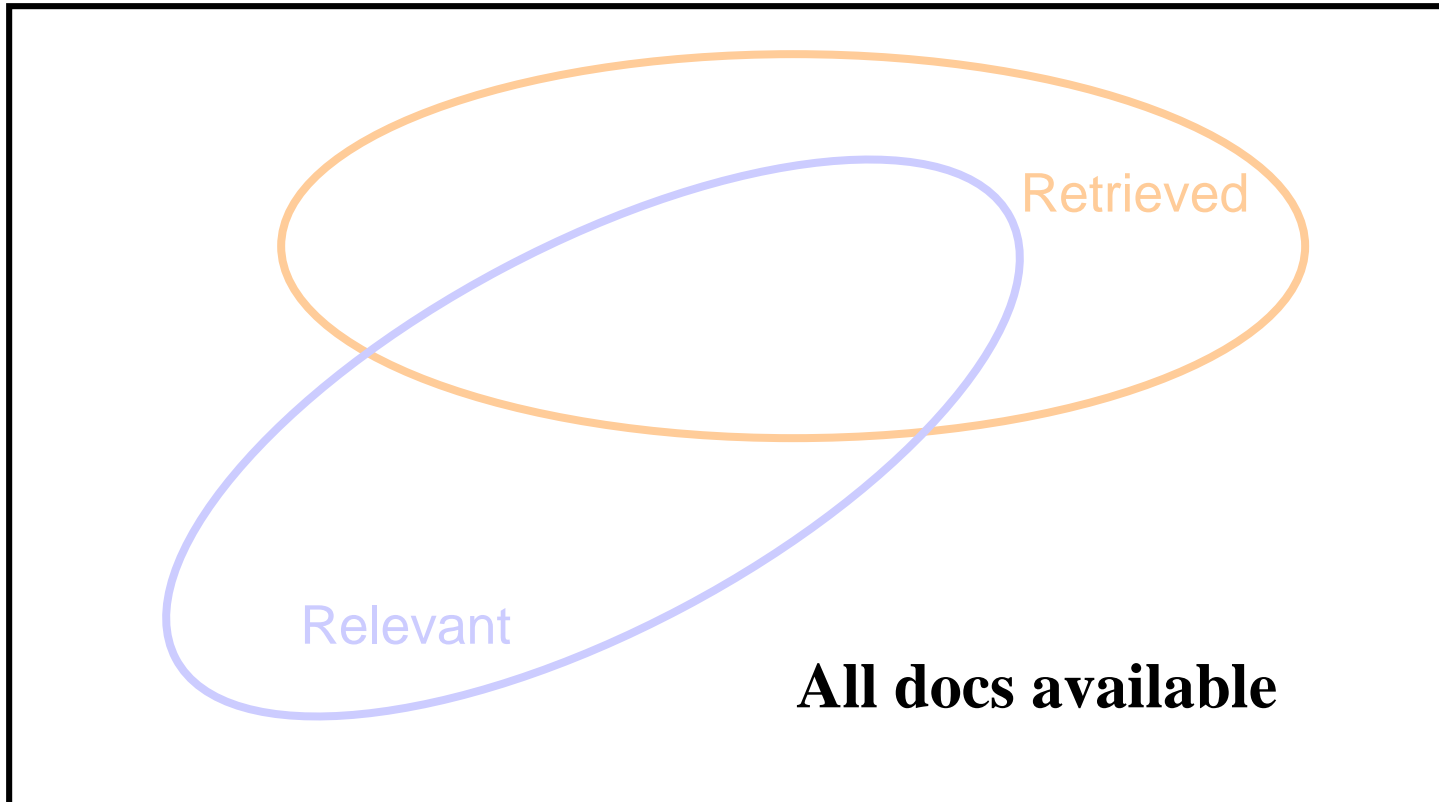
# Why Evaluate?

- Determine if the system is useful
- Make comparative assessments with other methods/systems
  - Who's the best?
- **Test and improve systems**
- Others: Marketing, ...

# What to Evaluate?

- In Information Extraction, we try to match a pre-annotated gold standard
- But the evaluation methodology is mostly taken from Information Retrieval
  - So let's consider **relevant documents** to a search engine **query** for now
  - We will return to IE evaluation later

# Relevant vs. Retrieved Documents



Set approach

# Contingency table of relevant and retrieved documents

		<u>relevant</u>		
		Rel	NotRel	
<u>retrieved</u>	Ret	Ret <sub>Rel</sub>	Ret <sub>NotRel</sub>	Ret = Ret <sub>Rel</sub> + Ret <sub>NotRel</sub>
	NotRet	NotRet <sub>Rel</sub>	NotRet <sub>NotRel</sub>	NotRet = NotRet <sub>Rel</sub> + NotRet <sub>NotRel</sub>

$$\text{Relevant} = \text{Ret}_{\text{Rel}} + \text{NotRet}_{\text{Rel}}$$

$$\text{Not Relevant} = \text{Ret}_{\text{NotRel}} + \text{NotRet}_{\text{NotRel}}$$

$$\text{Total \# of documents available } N = \text{Ret}_{\text{Rel}} + \text{NotRet}_{\text{Rel}} + \text{Ret}_{\text{NotRel}} + \text{NotRet}_{\text{NotRel}}$$

- Precision:  $P = \text{Ret}_{\text{Rel}} / \text{Retrieved}$
- Recall:  $R = \text{Ret}_{\text{Rel}} / \text{Relevant}$

$$P = [0,1]$$

$$R = [0,1]$$

# Contingency table of classification of documents

## Actual Condition

Present      Absent

	Present	Absent	
Positive	tp	fp type1	fp type 1 error
Negative	fn type2	tn	fn type 2 error

Test result

present = tp + fn  
positives = tp + fp  
negatives = fn + tn

Total # of cases  $N = tp + fp + fn + tn$

		Actual condition	
		Present	Absent
Test result	Positive	Condition Present + Positive result = True Positive	Condition absent + Positive result = False Positive <b>Type I error</b>
	Negative	Condition present + Negative result = False (invalid) Negative <b>Type II error</b>	Condition absent + Negative result = True (accurate) Negative

Example, using infectious disease test results:

		Actual condition	
		Infected	Not infected
Test result	Test shows "infected"	True Positive	False Positive (i.e. infection reported but not present) <b>Type I error</b>
	Test shows "not infected"	False Negative (i.e. infection not detected) <b>Type II error</b>	True Negative

Example, testing for guilty/not-guilty:

		Actual condition	
		Guilty	Not guilty
Test result	Verdict of "guilty"	True Positive	False Positive (i.e. guilt reported unfairly) <b>Type I error</b>
	Verdict of "not guilty"	False Negative (i.e. guilt not detected) <b>Type II error</b>	True Negative

Example, testing for innocent/not innocent – sense is reversed from previous example:

		Actual condition	
		Innocent	Not innocent
Test result	Judged "innocent"	True Positive	False Positive (i.e. guilty but not caught) <b>Type I error</b>
	Judged "not innocent"	False Negative (i.e. innocent but condemned) <b>Type II error</b>	True Negative

# Retrieval example

- Documents available:  
D1,D2,D3,D4,D5,D6,  
D7,D8,D9,D10
- Relevant: D1, D4, D5,  
D8, D10
- Query to search  
engine retrieves: D2,  
D4, D5, D6, D8, D9

	relevant	not relevant
retrieved		
not retrieved		

# Retrieval example

- Documents available: D1,D2,D3,D4,D5,D6, D7,D8,D9,D10
- Relevant: D1, D4, D5, D8, D10
- Query to search engine retrieves: D2, D4, D5, D6, D8, D9

	relevant	not relevant
retrieved	D4,D5,D8	D2,D6,D9
not retrieved	D1,D10	D3,D7



# Contingency table of relevant and retrieved documents

relevant

		Rel	NotRel	
<u>retrieved</u>	Ret	Ret <sub>Rel</sub> =3	Ret <sub>NotRel</sub> =3	Ret = Ret <sub>Rel</sub> + Ret <sub>NotRel</sub> = 3 + 3 = 6
	NotRet	NotRet <sub>Rel</sub> =2	NotRet <sub>NotRel</sub> =2	NotRet = NotRet <sub>Rel</sub> + NotRet <sub>NotRel</sub> = 2 + 2 = 4

$$\begin{aligned} \text{Relevant} &= \text{Ret}_{\text{Rel}} + \text{NotRet}_{\text{Rel}} \\ &= 3 + 2 = 5 \end{aligned}$$

$$\begin{aligned} \text{Not Relevant} &= \text{Ret}_{\text{NotRel}} + \text{NotRet}_{\text{NotRel}} \\ &= 2 + 2 = 4 \end{aligned}$$

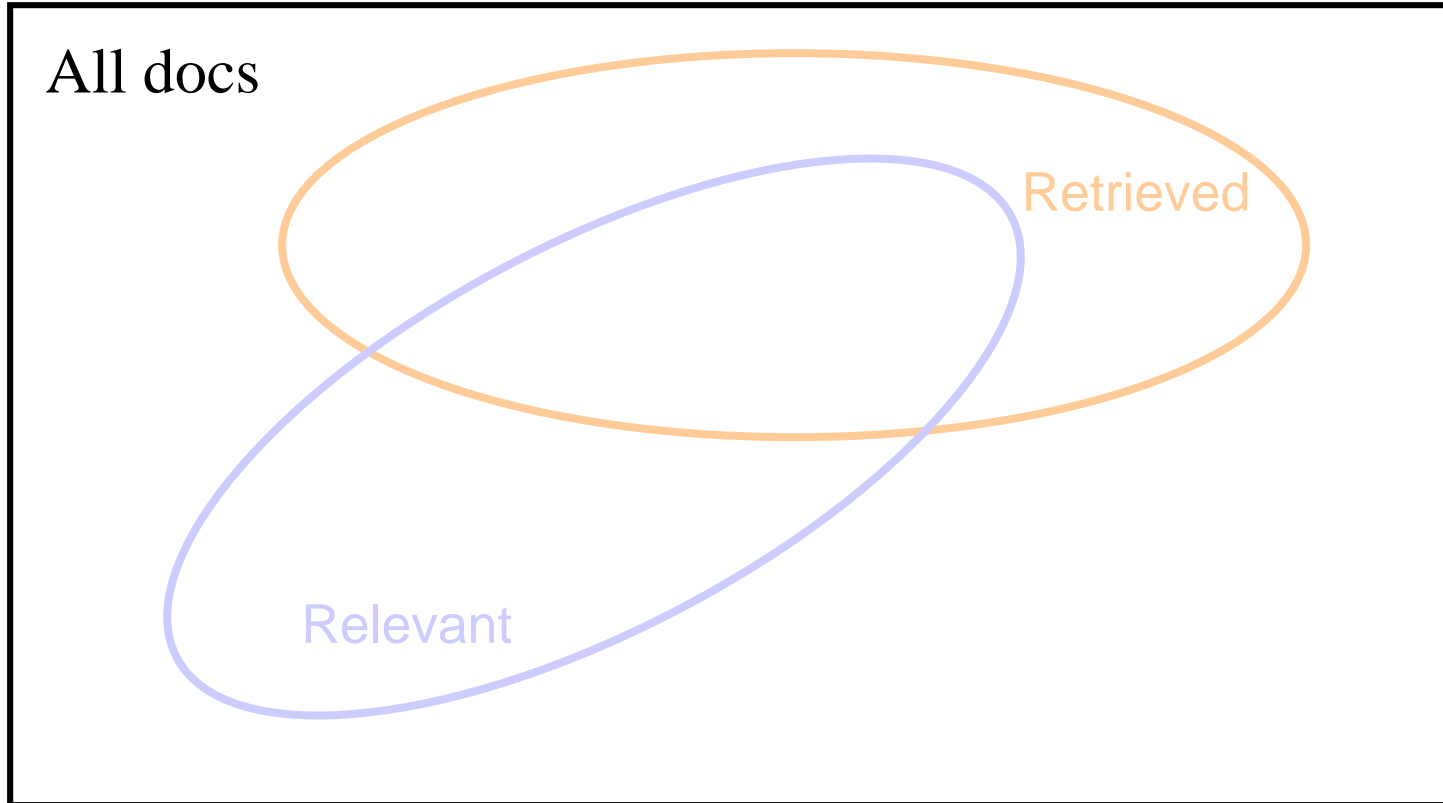
$$\text{Total \# of docs } N = \text{Ret}_{\text{Rel}} + \text{NotRet}_{\text{Rel}} + \text{Ret}_{\text{NotRel}} + \text{NotRet}_{\text{NotRel}} = 10$$

- Precision:  $P = \text{Ret}_{\text{Rel}} / \text{Retrieved} = 3/6 = .5$
- Recall:  $R = \text{Ret}_{\text{Rel}} / \text{Relevant} = 3/5 = .6$

# What do we want

- Find everything relevant – high recall
- Only retrieve what is relevant – high precision

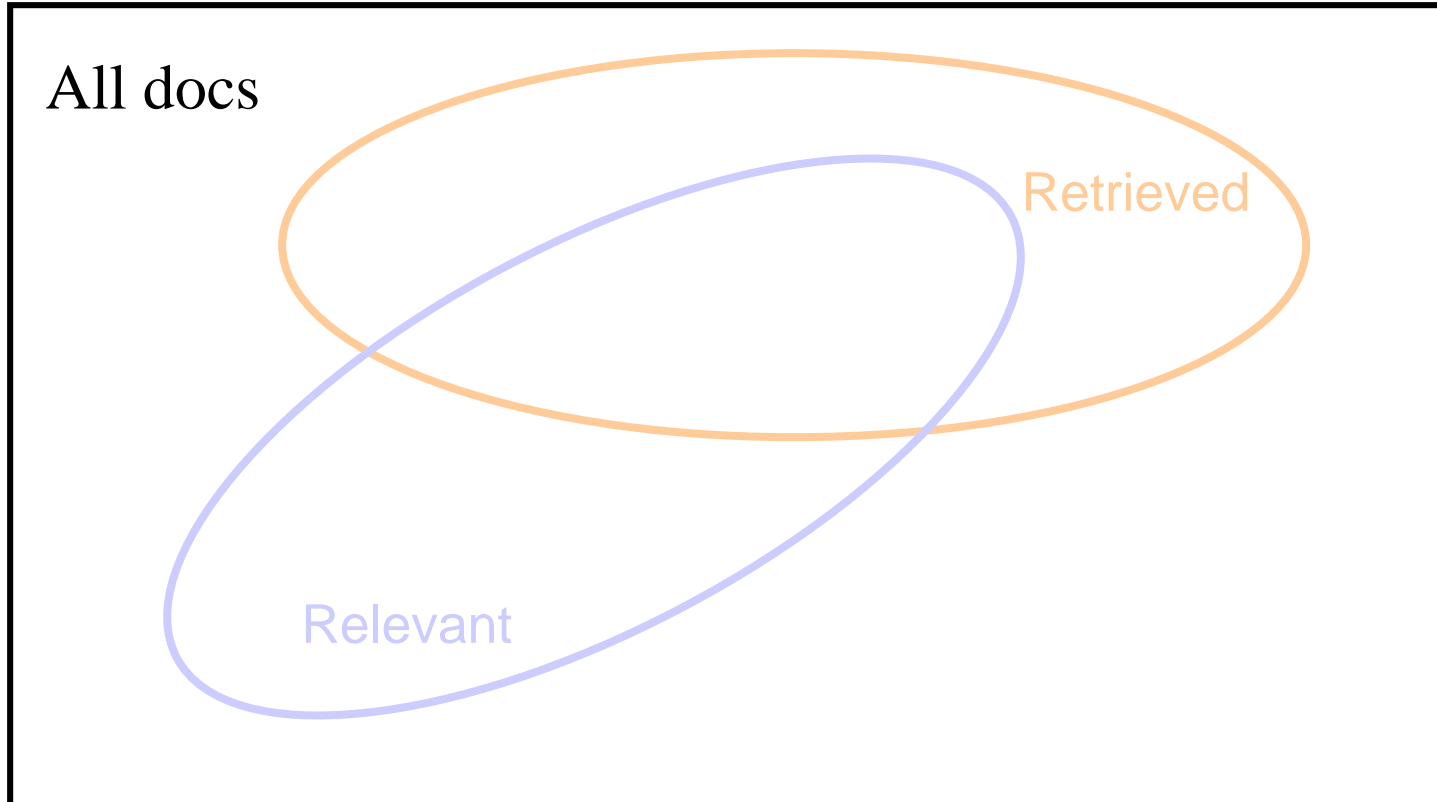
# Relevant vs. Retrieved



# Precision vs. Recall

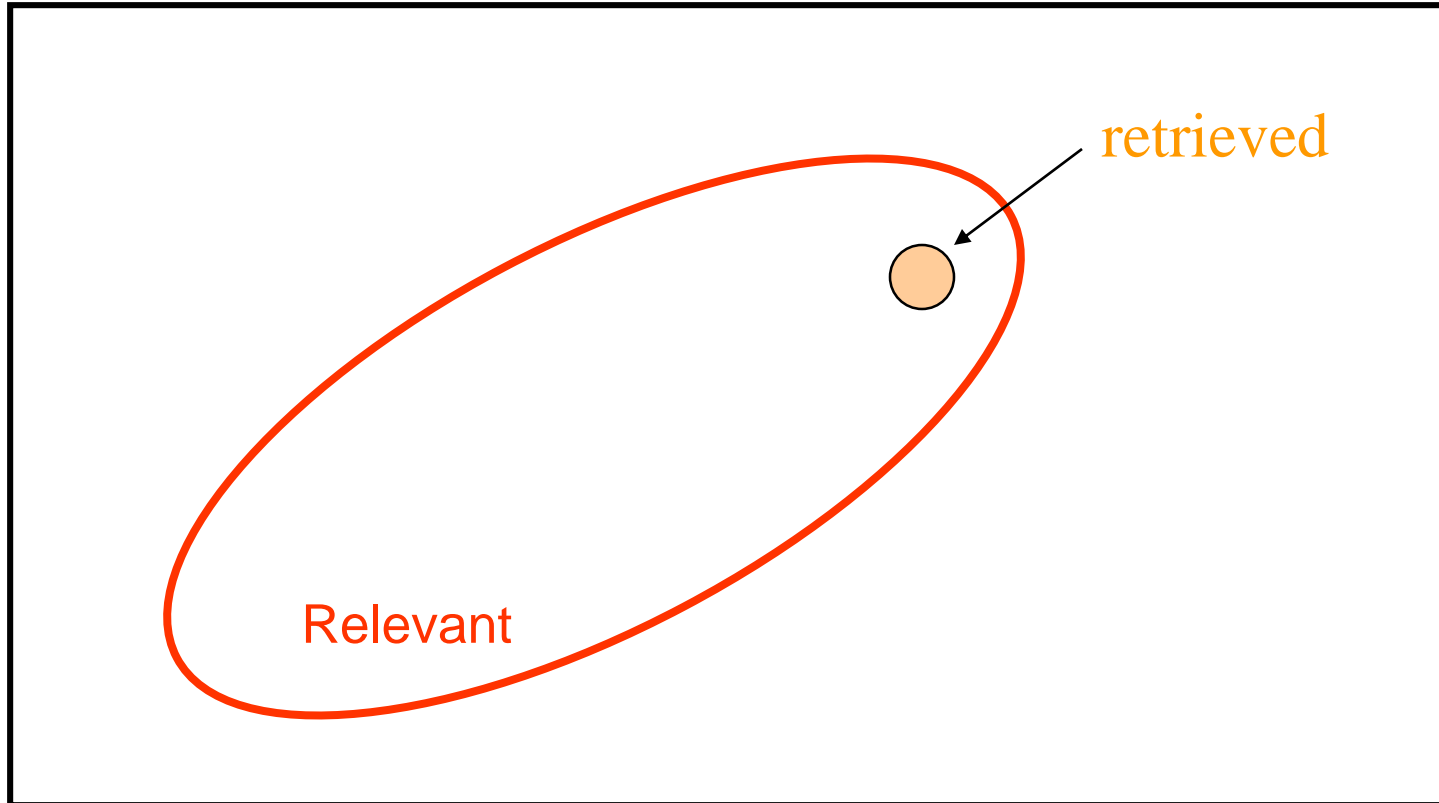
$$\text{Precision} = \frac{|\text{RelRetrieved}|}{|\text{Retrieved}|}$$

$$\text{Recall} = \frac{|\text{RelRetrieved}|}{|\text{Rel in Collection}|}$$



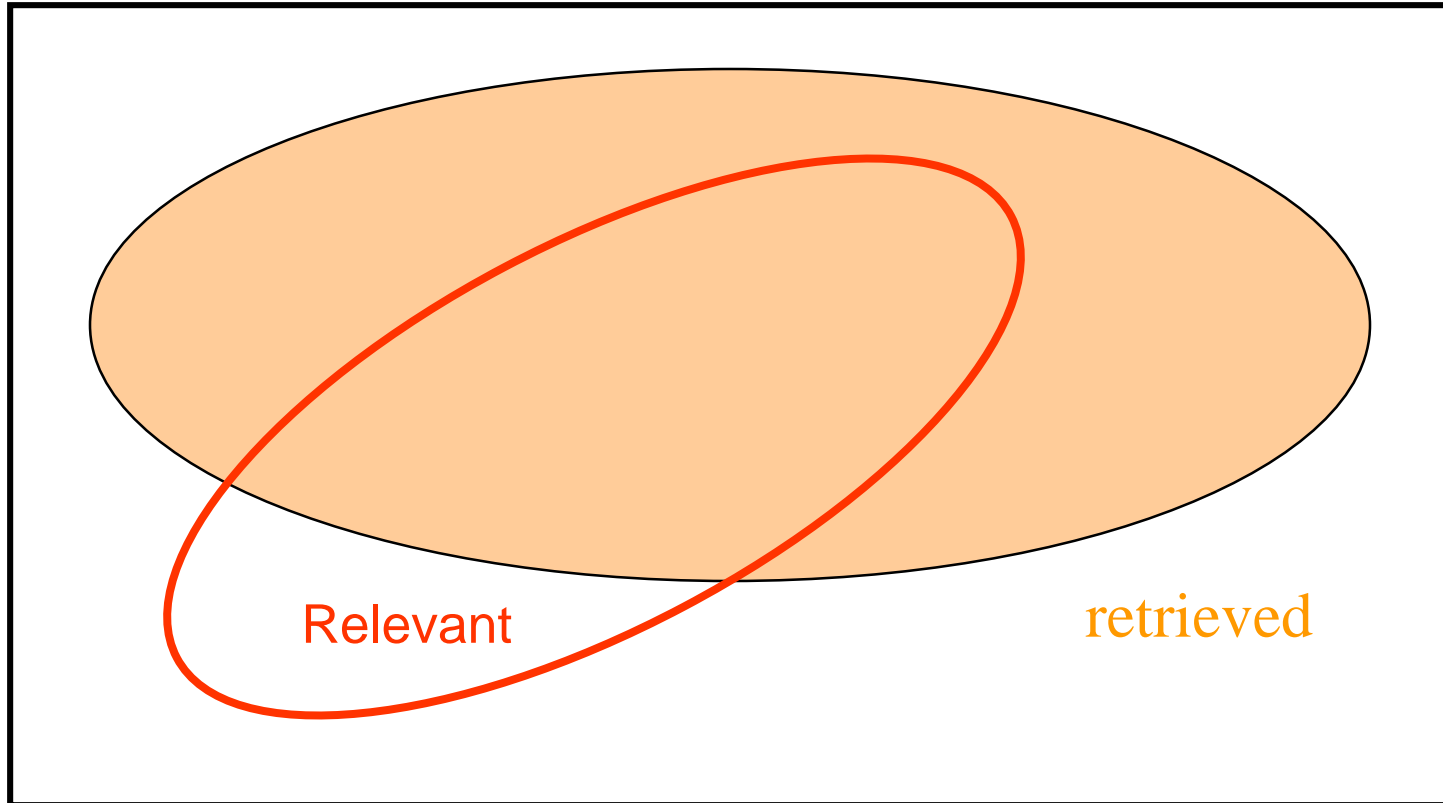
# Retrieved vs. Relevant Documents

Very high precision, very low recall



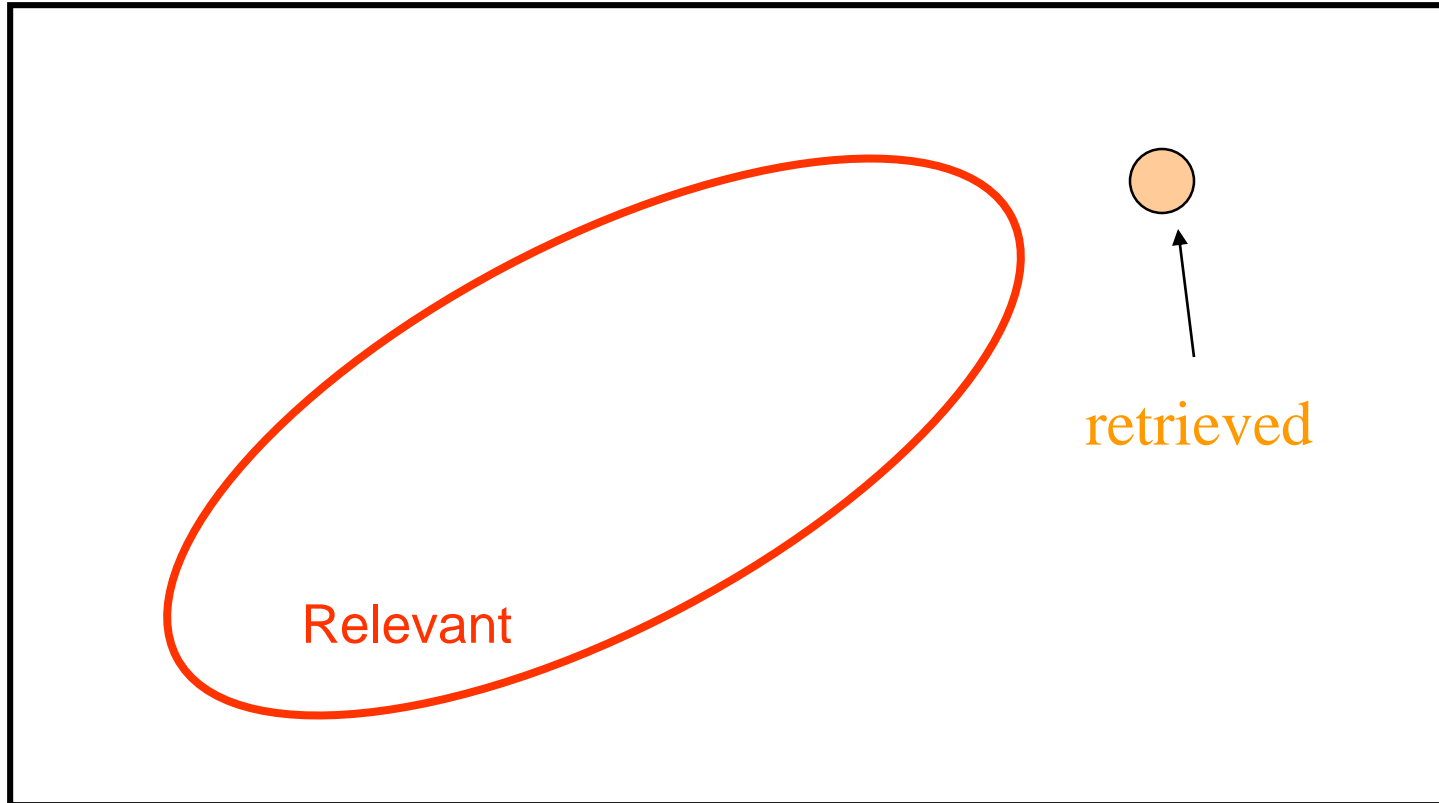
# Retrieved vs. Relevant Documents

High recall, but low precision



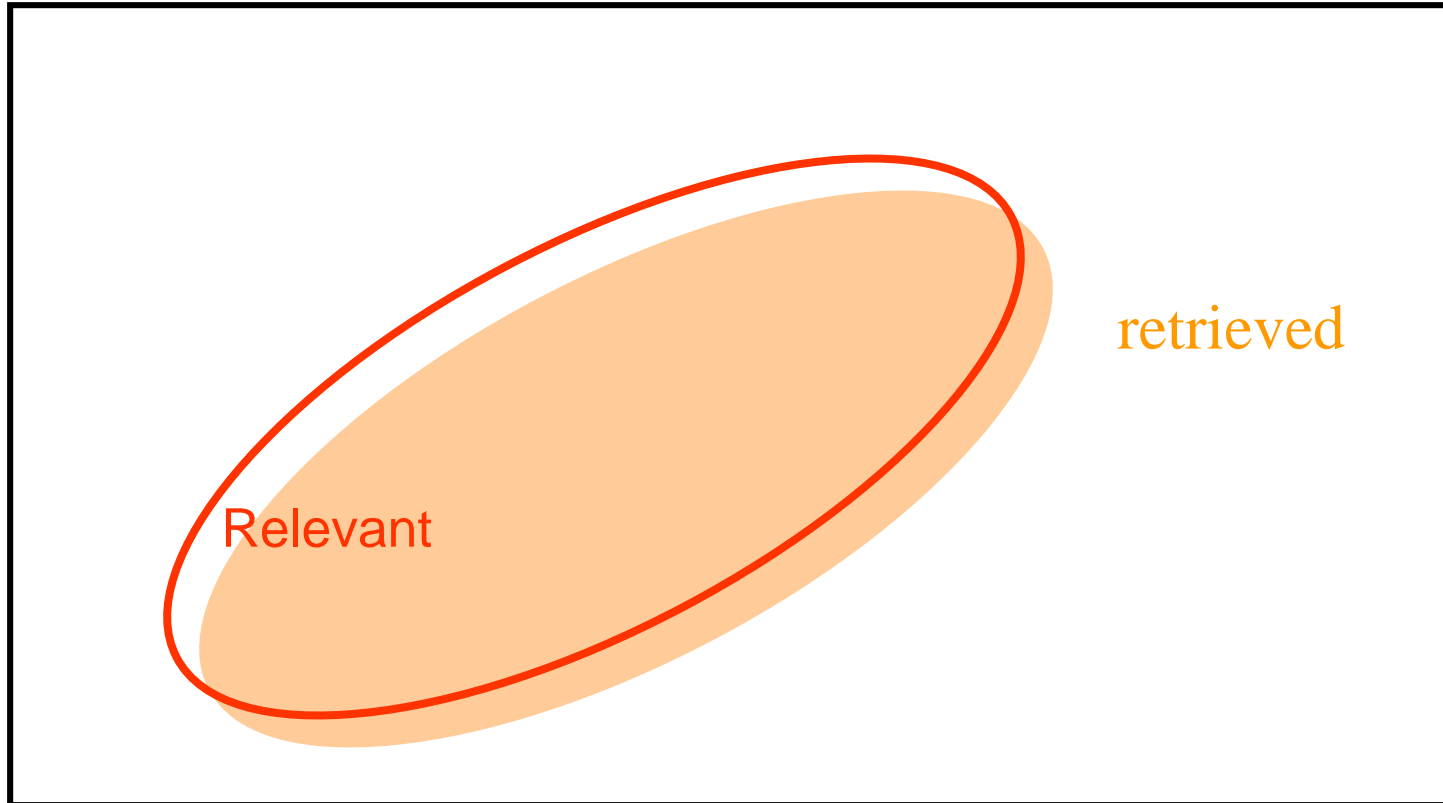
# Retrieved vs. Relevant Documents

Very low precision, very low recall (0 for both)



# Retrieved vs. Relevant Documents

High precision, high recall (at last!)





# Why Precision and Recall?

Get as much of what we want while at the same time getting as little junk as possible.

Recall is the percentage of relevant documents returned compared to everything that is available!

Precision is the percentage of relevant documents compared to what is returned!

The desired trade-off between precision and recall is specific to the scenario we are in

# Relation to Contingency Table

	Doc is Relevant	Doc is NOT relevant
Doc is retrieved	a	b
Doc is NOT retrieved	c	d

- Accuracy:  $(a+d) / (a+b+c+d)$
- Precision:  $a/(a+b)$
- Recall:  $a/(a+c)$
- Why don't we use Accuracy for IR?
  - (Assuming a large collection)
    - Most docs aren't relevant
    - Most docs aren't retrieved
    - Inflates the accuracy value

# CMU Seminars task

- Given an email about a seminar
- Annotate
  - Speaker
  - Start time
  - End time
  - Location

# CMU Seminars - Example

<0.24.4.93.20.59.10.jgc+@NL.CS.CMU.EDU (Jaime Carbonell).0>

Type: cmu.cs.proj.mt

Topic: <speaker>Nagao</speaker> Talk

Dates: 26-Apr-93

Time: <stime>10:00</stime> - <etime>11:00 AM</etime>

PostedBy: jgc+ on 24-Apr-93 at 20:59 from NL.CS.CMU.EDU (Jaime Carbonell)

Abstract:

<paragraph><sentence>This Monday, 4/26, <speaker>Prof. Makoto Nagao</speaker> will give a seminar in the <location>CMT red conference room</location> <stime>10</stime>-<etime>11am</etime> on recent MT research results</sentence>.</paragraph>

# Creating Rules

- Suppose we observe "the seminar at <stime>4 pm</stime> will [...]" in a training document
- The processed representation will have access to the words and to additional knowledge
- We can create a very specific rule for <stime>
  - And then generalize this by dropping constraints (as discussed previously)



# Example

the seminar at **<time>** 4 pm will

Condition	Additional Knowledge				Action
Word	Lemma	LexCat	case	SemCat	Tag
the	the	Art	low		
seminar	Seminar	Noun	low		
at	at	Prep	low		<b>stime</b>
4	4	Digit	low		
pm	pm	Other	low	timeid	
will	will	Verb	low		



# Example

the seminar at **<time>** 4 pm will

Condition	Additional Knowledge				Action
Word	Lemma	LexCat	case	SemCat	Tag
at	at	Prep	low		<b>stime</b>
4	4	Digit	low		
pm	pm	Other	low	timeid	



# Example

the seminar at **<time>** 4 pm will

Condition	Additional Knowledge				Action
Word	Lemma	LexCat	case	SemCat	Tag
	at				<b>stime</b>
		Digit			
				timeid	



- For each rule, we look for:
  - Support (training examples that match this pattern)
  - Conflicts (training examples that match this pattern with no annotation, or a different annotation)
- Suppose we see:

"tomorrow at <stime>9 am</stime>"

  - The rule in our example applies!
  - If there are no conflicts, we have a more general rule
- Overall: we try to take the most general rules which don't have conflicts

# Returning to Evaluation

- This time, evaluation specifically for IE

# Importance of Evaluation in IE

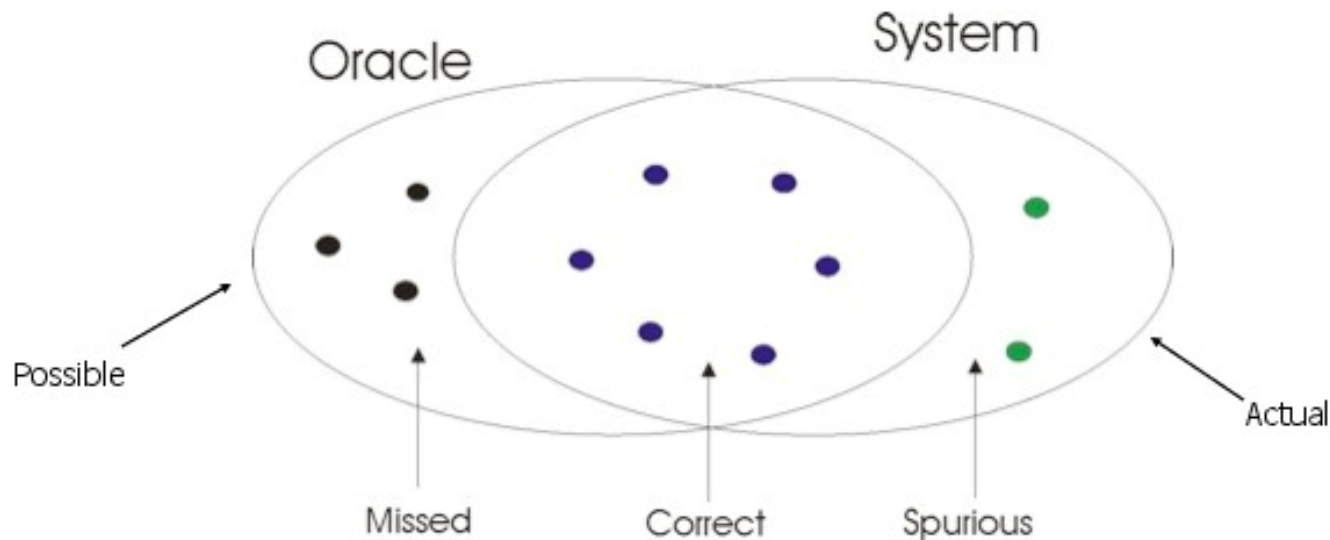
- IE was born from a series of competitive evaluations organised by DARPA in the US
  - MUC Conferences, 1989-1998
    - IE as a departure from IR but using the same types of measures of accuracy
    - The idea was to understand what worked and what not in text analysis
      - Finding a way to compare IE systems and approaches in a controlled way
- Evaluation is in IE's DNA
  - Publishing IE papers without evaluation is not considered acceptable

# Organising Evaluation

- You will need:
  - An annotated training corpus
    - That you will use to develop rules or to train a machine learning algorithm
  - A result scorer
    - A tool that automatically computes accuracy of the system against an annotated corpus
    - E.g. The MUC Scorer
  - An annotated test corpus
    - To be used blindly to test results
      - Please note that run on test corpus should be a one off test
        - Test corpus is not be used to fine tuning accuracy in any way
        - E.g. By looking at the results and changing your rules or by tuning the learning parameters

# The Rationale Behind

- **Precision:** how correct is the average answer provided by the system
- **Recall:** how many (correct) pieces of information are retrieved by the system
- **F-measure:** allows comparative evaluations



# Evaluation Measures

$$\text{Recall} = \frac{\text{CORRECT} + (\text{PARTIAL} * 0.5)}{\text{POSSIBLE}}$$

$$\text{Precision} = \frac{\text{CORRECT} + (\text{PARTIAL} * 0.5)}{\text{ACTUAL}}$$

$$F(\beta) = \frac{(\beta^2 + 1) * \text{PREC} * \text{REC}}{\beta^2 * \text{PREC} + \text{REC}}$$

**F-Measure is to be used to compare systems**

**In all evaluations all the three measures must be published**

# False Negative in CMU Seminars

- Gold standard test set:

Starting from `<stime>11 am</stime>`

- System marks nothing:

Starting from 11 am

- False negative (which measure does this hurt?)

# False Positive in CMU Seminars

- Gold standard test set:
  - ... Followed by lunch at 11:30 am , and meetings
- System marks:
  - ... at `<stime>11:30 am</stime>`
- False positive (which measure does this hurt?)



# Mislabeled in CMU Seminars

- Gold standard test set:

at a different time - `<stime>6 pm</stime>`

- System marks:

... - `<etime>6 pm</etime>`

- What sort of error do we have here?
- Which measures are affected?
- Note that this is different from Information Retrieval!

# Partial Matches in CMU Seminars

- Gold standard test set:
  - ... at <stime>5 pm</stime>
- System marks:
  - ... at <stime>5</stime> pm
- Then I get a partial match (worth 0.5)
- Also different from Information Retrieval



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# Issues in Evaluation

# Issues Affecting Evaluation

- The Algorithm
- The feature set used
- The leniency in assessing results
  - the availability of standard annotated corpora do not guarantee that the experiments performed with different approaches and algorithms proposed in the literature can be reliably compared
    - Data problems
    - Problems of experimental design
    - Problems of presentation

Alberto Lavelli, Mary E Califf, Fabio Ciravegna, Dayne Freitag, Claudio Giuliano, Nicholas Kushmerick, Lorenza Romano, and Neil Ireson:  
Evaluation of Machine Learning-based Information Extraction Algorithms: Criticisms and Recommendations,  
Language Resources and Evaluation, Volume 42, Issue 4 (December 2008).

# Leniency in Evaluation

- Data Problems
  - Errors in data, branching corpora, templates Vs markup
- Experimental design
  - Training/Test Set selection
    - e.g. 50/50 Vs 80/20
  - Tokenization
  - How to count matches (see below)

Alberto Lavelli, Mary E Califf, Fabio Ciravegna, Dayne Freitag, Claudio Giuliano, Nicholas Kushmerick, Lorenza Romano, and Neil Ireson:  
Evaluation of Machine Learning-based Information Extraction Algorithms: Criticisms and Recommendations,  
Language Resources and Evaluation, Volume 42, Issue 4 (December 2008).

# Issues in Evaluation

- Fragment evaluation:
  - How leniently should inexact identification of filler boundaries be assessed?
- Counting multiple matches:
  - When a learner predicts multiple fillers for an entity, how should they be counted?
- Filler variation:
  - When text fragments having distinct surface forms refer to the same underlying entity, how should they be counted?

Alberto Lavelli, Mary E Califf, Fabio Ciravegna, Dayne Freitag, Claudio Giuliano, Nicholas Kushmerick, Lorenza Romano, and Neil Ireson:  
Evaluation of Machine Learning-based Information Extraction Algorithms: Criticisms and Recommendations,  
Language Resources and Evaluation, Volume 42, Issue 4 (December 2008).

- Evaluation is a critical issue where there is still much work to be done
- But before we can evaluate, we need a **gold standard**
- Training IE systems
  - Critical component for "learning" statistical classifiers
  - The more data, the better the classifier
- Can also be used for developing a handcrafted NER system
  - Constant rescoring and coverage checks are very helpful
- Necessary in both cases for **evaluation**



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# Annotating Documents to IE Train Systems

Can we really ask people to annotate documents?

Most slides are from Ziqi Zhang, University of Sheffield



# Do People Like Annotating?

- No, they hate it
  - They will try not to do it or do it quickly
- It is time and energy consuming
  - It is not their job
    - Unless they are professional annotators
  - They are not rewarded for it
- It is tiring
- It is error prone
- But most of all: is it possible to annotate documents with sufficient accuracy to train an IE system?

- A project funded by AHRC/EPSRC/JISC in the UK. In collaboration with the University of York (Archaeology Department)
- Goal:
  - Building an e-archaeology application to allow archaeologists to discover, share, and analyse datasets and legacy publications
- Role of IE: To identify in several collections of documents:
  - Pacenames: around 2,000 in corpus
    - Yorkshire, Cambridge, The London Tower, Baker Street, St. Paul, Church road.
  - Subjects: around 10,000
    - Roman pottery, spearhead, animal remains, church, courtyard, plates, vessel
  - Temporals: around 4,000
    - Roman, Saxon, AD1078, 300BC, 43 - 801AD, circa 1771, Victorian era, Bronze Age

# IE in Aracheotools

- Based on SVN
  - The TRex tool <http://t-rex.sourceforge.net/>
- Training based on corpora annotated by 5 expert archaeologists
  - training documents 42, length: up to several hundreds of pages
  - total documents to tag by machine learning: 967
  - total documents to tag by rules: 3991
- Annotation process was geared at high quality
  - Annotation instructions were clarified through several iterations
    - Our archaeologists colleagues, they clearly explained the task to annotators, went through examples with them
    - The IE experts went through several confusing examples with archaeologists to clarify their doubts
  - One senior researcher was appointed to make final decision in case of doubts from any annotators
  - Annotators were very motivated and the task was part of their job!!!

IAA F-measure – Inter-Annotator-Agreement F-measure, Hripcsak and Rothschild (2005).

		Annotator A	
		Positive	Negative
Annotator B	Positive	a	b
	Negative	c	d

- ✓ Treating A's annotations as gold standard, and B's as reference
- ✓ Precision of B =  $a/(a+b)$ , Recall of B =  $a/(a+c)$
- ✓ F-measure of B =  $2a/(2a+b+c)$
- ✓ Equivalent to the standard P, R, F metrics used for evaluating IE systems

- IAA F-measure – Inter-Annotator-Agreement F-measure
  - ✓ Figures obtained from a shared corpus annotated by three different annotators

	<b>Place name</b>	<b>Subject</b>	<b>Temporal</b>
<b>Lowest IAA between any two annotators</b>	<b>66.2</b>	<b>49</b>	<b>67.2</b>
<b>Highest IAA between any two annotators</b>	<b>80</b>	<b>63</b>	<b>83.3</b>

# Annotator Variability

- Differences in annotation are a significant problem
  - Only some people are good at annotation
  - Practice helps
- Even good annotators can have different understanding of the task
  - For instance, in doubt, annotate? Or not?
  - (~ precision/recall tradeoffs)
- Effect of using gold standard corpora that are not well annotated
  - Evaluations can return inaccurate results
  - Systems trained on inconsistent data can develop problems which are worse than if the training examples are eliminated
- Crowd-sourcing, which we will talk about later, has all of these same problems even more strongly!



# Annotation Quality - Conclusions

- In general archaeology is a difficult domain, with many uncertainty and ambiguity even for humans
- Inconsistency between annotators generated noise that influences learning system
- Very careful evaluation of the quality of annotation must always be implemented
  - Aka possibility/ability for the annotators to perform good quality annotation
- Never ever suppose that humans are 100% correct
  - For complex tasks they may perform at 80% accuracy!!!!
    - Always ask users to annotate (at least partially) overlapping sets of documents
      - So to be able to check their agreement

# CMU Seminars task

- Given an email about a seminar...
- Annotate mentions of:
  - Speaker
  - Start time
  - End time
  - Location



# CMU Seminars - Example

<0.24.4.93.20.59.10.jgc+@NL.CS.CMU.EDU (Jaime Carbonell).0>

Type: cmu.cs.proj.mt

Topic: <speaker>Nagao</speaker> Talk

Dates: 26-Apr-93

Time: <stime>10:00</stime> - <etime>11:00 AM</etime>

PostedBy: jgc+ on 24-Apr-93 at 20:59 from NL.CS.CMU.EDU (Jaime Carbonell)

Abstract:

<paragraph><sentence>This Monday, 4/26, <speaker>Prof. Makoto Nagao</speaker> will give a seminar in the <location>CMT red conference room</location> <stime>10</stime>-<etime>11am</etime> on recent MT research results</sentence>.</paragraph>

# IE Template

Slot Name	Value
Speaker	Prof. Makoto Nagao
Start time	1993-04-26 10:00
End time	1993-04-26 11:00
Location	CMT red conference room
Message Identifier (Filename)	0.24.4.93.20.59.10.jgc+@NL.CS.CMU.EDU (Jaime Carbonell).0

- Template contains \*canonical\* version of information
  - There are several "mentions" of speaker, start time and end-time in the email (see previous slide)
  - Only one value for each slot
  - Location could probably also be canonicalized
  - Important: also keep link back to original text

# How many database entries?

- In the CMU seminars task, one message generally results in one database entry
  - Or no database entry if you process an email that is not about a seminar
- In other IE tasks, can get multiple database entries from a single document or web page
  - A page of concert listings -> database entries
  - Entries in timeline -> database entries

# Summary

- IR: end-user
  - Start with information need
  - Gets relevant documents, hopefully information need is solved
  - Important difference: Traditional IR vs. Web R
- IE: analyst (you)
  - Start with template design and corpus
  - Get database of filled out templates
    - Followed by subsequent processing (e.g., data mining, or user browsing, etc.)

# IE: what we've seen so far

So far we have looked at:

- Source issues (selection, tokenization, etc)
- Extracting regular entities
- Rule-based extraction of named entities
- Learning rules for rule-based extraction of named entities
- We also jumped ahead and looked briefly at end-to-end IE for the CMU Seminars task

# Information Extraction

and beyond

**Information Extraction** (IE) is the process of extracting structured information from unstructured machine-readable documents

Ontological Information Extraction

Fact Extraction

Instance Extraction

Named Entity Recognition

Tokenization & Normalization

Source Selection

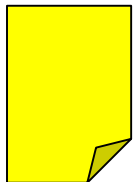
...married Elvis on 1967-05-01

05/01/67



1967-05-01

Elvis Presley	singer
Angela Merkel	politician



?

# Where we are going

- We will stay with the named entity recognition (NER) topic for a while
  - How to formulate this as a machine learning problem (later in these slides)
  - Next time: brief introduction to machine learning

# Named Entity Recognition

**Named Entity Recognition** (NER) is the process of finding entities (people, cities, organizations, dates, ...) in a text.

Elvis Presley was born in 1935 in East Tupelo, Mississippi.





# Extracting Named Entities

Person: Mr. Hubert J. Smith, Adm. McInnes, Grace Chan

Title: Chairman, Vice President of Technology, Secretary of State

Country: USSR, France, Haiti, Haitian Republic

City: New York, Rome, Paris, Birmingham, Seneca Falls

Province: Kansas, Yorkshire, Uttar Pradesh

Business: GTE Corporation, FreeMarkets Inc., Acme

University: Bryn Mawr College, University of Iowa

Organization: Red Cross, Boys and Girls Club

# More Named Entities

Currency: 400 yen, \$100, DM 450,000

Linear: 10 feet, 100 miles, 15 centimeters

Area: a square foot, 15 acres

Volume: 6 cubic feet, 100 gallons

Weight: 10 pounds, half a ton, 100 kilos

Duration: 10 day, five minutes, 3 years, a millennium

Frequency: daily, biannually, 5 times, 3 times a day

Speed: 6 miles per hour, 15 feet per second, 5 kph

Age: 3 weeks old, 10-year-old, 50 years of age

# IE Posed as a Machine Learning Task


- Training data: documents marked up with ground truth
- Extract features around words/information
- Pose as a classification problem

... **00 : pm Place : Wean Hall Rm 5409 Speaker : Sebastian Thrun** ...

prefix                      contents                      suffix

# Sliding Windows

Information Extraction: Tuesday 10:00 am, Rm 407b



For each position, ask: Is the current window a named entity?

Window size = 1

# Sliding Windows

Information Extraction: Tuesday 10:00 am, Rm 407b



For each position, ask: Is the current window a named entity?

Window size = 2

# Features

Information Extraction: Tuesday 10:00 am, Rm 407b

Prefix  
window

Content  
window

Postfix  
window

Choose certain **features** (properties) of windows that could be important:

- window contains colon, comma, or digits
- window contains week day, or certain other words
- window starts with lowercase letter
- window contains only lowercase letters
- ...

# Feature Vectors

Information Extraction: Tuesday 10:00 am, Rm 407b

Prefix  
window

Content  
window

Postfix  
window

Prefix colon  
Prefix comma  
...  
Content colon  
Content comma  
...  
Postfix colon  
Postfix comma

$$\begin{bmatrix} 1 \\ 0 \\ \dots \\ 1 \\ 0 \\ \dots \\ 0 \\ 1 \end{bmatrix}$$

The **feature vector** represents the presence or absence of features of one content window (and its prefix window and postfix window)

Features

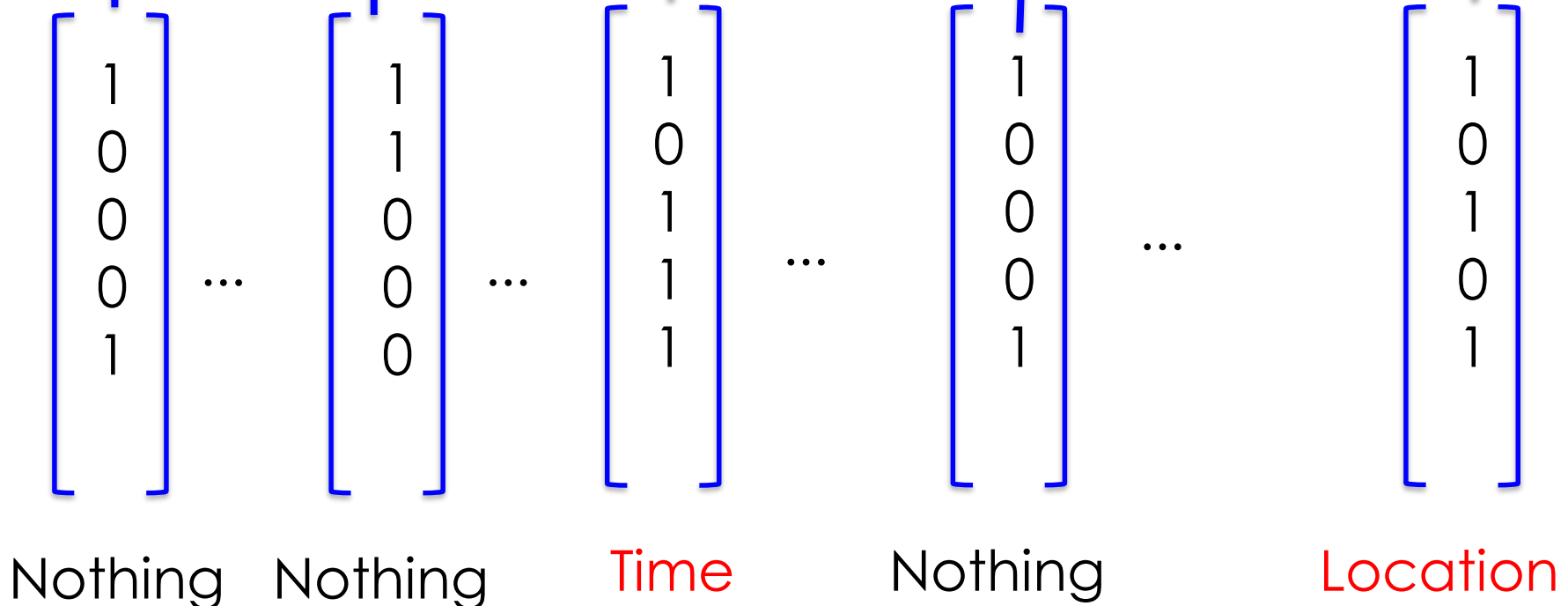
Feature Vector

# Sliding Windows Corpus

Now, we need a **corpus** (set of documents) in which the entities of interest have been manually labeled.

NLP class: Wednesday, 7:30am and Thursday all day, rm 667

From this corpus compute the feature vectors with labels:

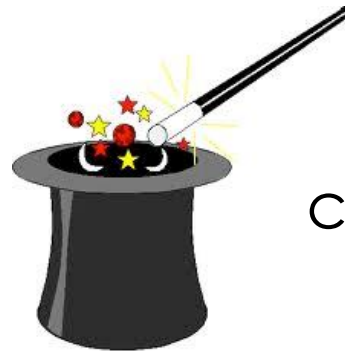
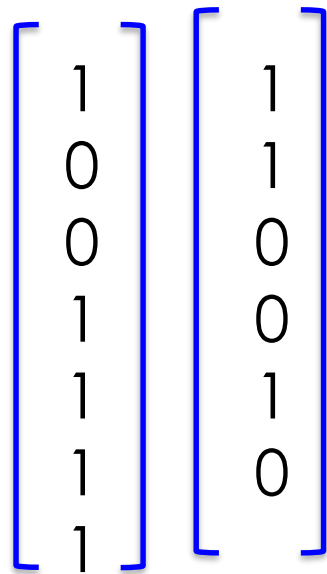




# Machine Learning

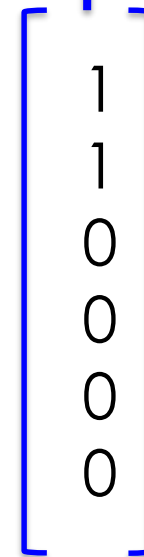
Information Extraction: Tuesday 10:00 am, Rm 407b

Use the labeled feature vectors as training data for Machine Learning



Machine Learning

classify



Result

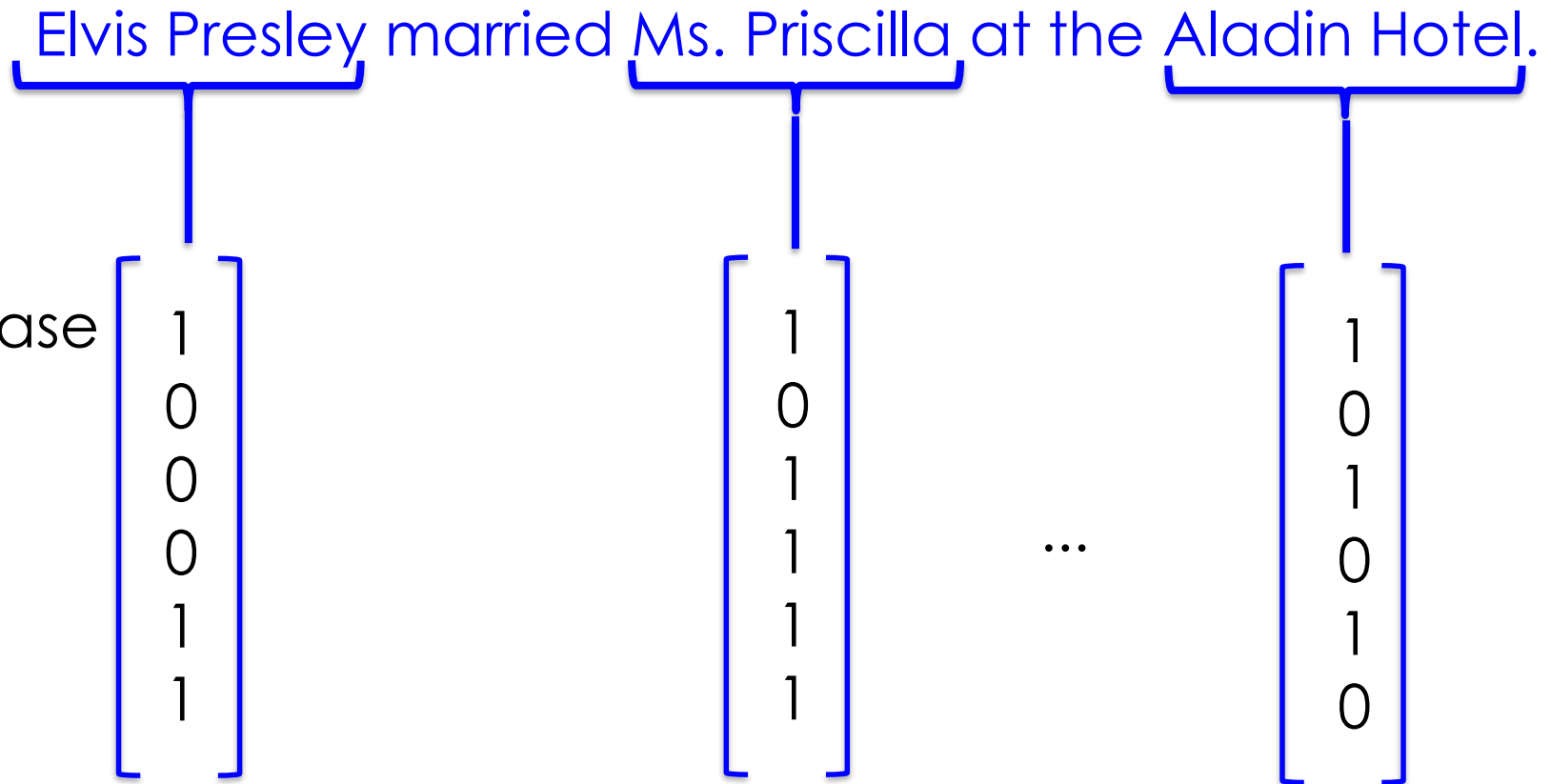


Time

Nothing Time

# Sliding Windows Exercise

*What features would you use to recognize person names?*



# Good Features for Information Extraction

begins-with-number	Example word features: <ul style="list-style-type: none"><li>– identity of word</li><li>– is in all caps</li><li>– ends in “-ski”</li><li>– is part of a noun phrase</li><li>– is in a list of city names</li><li>– is under node X in WordNet or Cyc</li><li>– is in bold font</li><li>– is in hyperlink anchor</li><li>– <i>features of past &amp; future</i></li><li>– last person name was female</li><li>– next two words are “and Associates”</li></ul>	contains-question-mark
begins-with-ordinal		contains-question-word
begins-with-punctuation		ends-with-question-mark
begins-with-question-word		first-alpha-is-capitalized
begins-with-subject		indented
blank		indented-1-to-4
contains-alphanum		indented-5-to-10
contains-bracketed-number		more-than-one-third-space
contains-http		only-punctuation
contains-non-space		prev-is-blank
contains-number		prev-begins-with-ordinal
contains-pipe		shorter-than-30

# Good Features for Information Extraction

Is Capitalized

Is Mixed Caps

Is All Caps

Initial Cap

Contains Digit

All lowercase

Is Initial

Punctuation

Period

Comma

Apostrophe

Dash

Preceded by HTML tag

Character n-gram classifier  
says string is a person  
name (80% accurate)

In stopword list  
(the, of, their, etc)

In honorific list  
(Mr, Mrs, Dr, Sen, etc)

In person suffix list  
(Jr, Sr, PhD, etc)

In name particle list  
(de, la, van, der, etc)

In Census lastname list;  
segmented by P(name)

In Census firstname list;  
segmented by P(name)

In locations lists  
(states, cities, countries)

In company name list  
("J. C. Penny")

In list of company suffixes  
(Inc, & Associates,  
Foundation)

Word Features

- ▣ lists of job titles,
- ▣ Lists of prefixes
- ▣ Lists of suffixes
- ▣ 350 informative phrases

HTML/Formatting Features

- ▣ {begin, end, in} x  
{<b>, <i>, <a>, <hN>} x  
{lengths 1, 2, 3, 4, or longer}
- ▣ {begin, end} of line

- Slide sources
  - A number of slides were taken from a wide variety of sources (see the attribution at the bottom right of each slide)
  - Some of the slide authors:
    - C. Lee Giles, Penn State
    - Fabio Ciravegna/Zhang Zhiqi, Sheffield
    - Dave Kauchak, Pomona College
    - Fabian Suchanek, Telecom ParisTech
  - CMU Seminars task: Dayne Freitag, see also his PhD thesis (Machine Learning for Information Extraction in Informal Domains, CMU, Nov 1998)

# Conclusion

- Last two lectures
  - Manually coded rules for NER
  - Learning rules for NER
  - Evaluation
  - Annotation
  - Introduction to classification
    - Sliding windows and features
- Please read Sarawagi Chapter 3!

Questions?

- Thank you for your attention!